

INFORMATION AND INSTRUCTIONS FOR COMPLETING THIS FORM & SIGNING INSTRUCTIONS

IMPORTANT:

- (I) **Please ensure that you carefully read the Terms and Conditions of the Share Sale Facility and Financial Services Guide before completing this form. **Please note, this share sale facility is only available to issuer sponsored holdings of Issuers that are participant in our share sale facility**.**
- (II) Please complete in full sections 1 to 4 in CAPITAL LETTERS.
- (III) In Section 1, enter the details of all the Securityholders, in which the holding is **registered**.
- (IV) In Section 2, the full title of the security to be sold must be quoted. The SRN (Securityholders Reference Number) must be quoted in full. The SRN can be found on your most recent Dividend Statement / Holding Statement.
- (V) In Section 3, the nominal number of securities to be sold must be inserted.
- (VI) **Sale proceeds, less a facilitation fee of 0.99% with a minimum of \$99.00 (inclusive of GST) will be paid to the bank account nominated in section 4 of the form. The Bank Account must be in the name of at least one of the securityholders. A copy of the most recent bank statement which clearly shows the account holder's name, BSB and account number (balance and transactions redacted for privacy reasons) must accompany this form.**
- (VII) **All securityholders: must sign in Section 5. Electronic signatures will not be accepted.**
- (VIII) Please return this form **with accompanying AML/KYC Information Collection form for each individual registered holder to:**

MUFG Corporate Markets (AU) Limited
A division of MUFG Pension & Market Services
Registry Operations – AML/CTF Processing
Locked Bag A14
Sydney South NSW 1235, Australia
Email: sharesales@cm.mpms.mufg.com
Website: au.investorcentre.mpms.mufg.com

ELIGIBILITY

To be eligible to use the email channel to send us your share sale authority and KYC form, you must:

- Have a registered address in Australia or New Zealand
- Hold government issued identification issued in Australia and/or New Zealand that can be verified electronically
- Consent to your identity being verified electronically directly from the document issuer
- **Not** have an account designation e.g. <ABC SMSF A/c>
- **Not** have an authorised representative such as a Power of Attorney (POA)

Registered Names and addresses of all securityholders to be detailed below.

****Please ensure that the registered address (and e-mail address, if any) are current. The sales advice letter will only be mailed to the registered address or if there is a registered e-mail address it will be e-mailed to that e-mail address.****

TERMS AND CONDITIONS

By signing this form, the person (or persons) specified in the Sale Authority ("Securityholder"):

1. acknowledges and warrants that they have full legal title to the ordinary securities specified overleaf, free from any encumbrance, mortgage, charge or lien;
2. acknowledges that if the Securityholder's holding has changed since the date of this authority, **ALL** of the Securityholder's securities (as at the date this Authority is processed) will be sold under this facility;
3. appoints Pacific Custodians Pty Limited ("Pacific Custodians"), as its agent, and authorises and directs Pacific Custodians, to instruct a Broker to sell the securities on-market on the Australian Securities Exchange (ASX), in accordance with these Terms and Conditions, and to execute all documents and do all acts and things necessary for the preparation and sale of such securities through this facility. The Securityholder acknowledges that Pacific Custodians may aggregate sell orders from other Securityholders into a combined sale batch with the Securityholder's order and arrange the sale of the combined sale batch together as a single parcel or multiple parcels;
4. agrees that the securities may be sold at any time within 8 business days from the receipt of this authority, provided all documents have been assessed by us as properly executed and complete, including any AML/KYC requirements;
5. agrees that the sale price of the securities will be the market price of the securities, determined by the volume weighted average sale price achieved by the Broker for the sale batch sold by the Broker under this facility on the day(s) in which the Securityholder's securities are sold. The market price may be different to the closing price appearing in the newspaper or quoted by the ASX on the day that the securities are sold. **Neither Pacific Custodians, the broker nor the Issuer will be liable for the securities not being sold at a specific price;**
6. agrees that the net sale proceeds of the securities (less facilitation fee of 0.99% with a minimum of \$99.00 inclusive of GST), will be paid in Australian dollars into the Securityholder's nominated Australian bank account within 7 business days of the sale batch being sold on the ASX. For Securityholders based overseas, the net sale proceeds of the securities will be paid in the Securityholder's local currency via an International Money Transfer (IMT) into the Securityholder foreign bank account nominated on the International Money Transfer/Cross Border Wire Transfer form within 9 business days of the sale batch being sold on the ASX. The Securityholder will not be liable to pay any brokerage or fees for the sale of their securities other than the abovementioned fee payable to Pacific Custodians. Please note, the Securityholder's overseas bank may deduct fees from the net proceeds before crediting the payment into their bank account, and this can result in the Securityholder receiving a lower amount than expected. We cannot control or refund any fees of this nature that are incurred;
7. agrees that the sale is subject to, and that the Securityholder is bound by, the Constitution, rules, regulations, customs and usages of ASX;
8. agrees that the Securityholder cannot withdraw or revoke their authority to have their securities sold once this Sale Authority form is received by Pacific Custodians;
9. agrees that Pacific Custodians is under no obligation to accept any Sale Authority form, whether completed correctly or not, and Pacific Custodians has no liability to the Securityholder other than for the payment of any net sale proceeds determined and payable on the terms set out above (in particular, neither Pacific Custodians nor the Issuer will be liable for any direct or indirect loss arising as a consequence of Pacific Custodians not acting on a Sale Authority or not acting on a Sale Authority at any particular time);
10. agrees that if bank account details are provided in this authority, payment of the proceeds will be made by direct credit into that bank account regardless of whether either of the payment options boxes have been ticked;
11. agrees to provide Pacific Custodians with a copy of the Securityholder's recent bank statement (balance and transaction details redacted), if requested, to confirm their bank account details;
12. agrees that if Pacific Custodians is required to hold the net sale proceeds for a period of time, (in the event of the Electronic direct credit details not being correct or other similar circumstances outside Pacific Custodians' control), no interest will be paid, nor will Pacific Custodians be responsible for a repurchase of the securities;
13. acknowledges that neither Pacific Custodians nor the Issuer has given the Securityholder any investment advice or made any securities recommendations relating to the sale of the securities; and
14. acknowledges that the Securityholder has received the Pacific Custodians Financial Services Guide which was sent to the Securityholder with this Sale Authority; and
15. agrees that if the Securityholder constitutes more than one person these terms and conditions bind them jointly and severally.

We recommend that you obtain advice from your financial adviser before making a decision as to whether to direct us to sell your securities under this facility.

Pacific Custodians Pty Ltd is a wholly owned subsidiary of MUFG Corporate Markets (AU) Limited.

An Issuer refers to the company or organisation that offers and issues securities, such as shares (stocks) or bond, to investors.

If you require information on how to complete this form please contact Pacific Custodians on 1300 554 474 (within Australia) or +61 2 8280 7111 (outside Australia).

Personal Information Collection Notification Statement: MUFG Pension & Market Services ("MPMS") of which Pacific Custodians is a member company, advises that your personal information is collected by MPMS organisations for the administration of your investment as required or permitted by the Corporations Act 2001 (Cth) and other legislation. Some or all of your personal information may be disclosed to contracted third parties, or related MPMS companies in Australia and overseas. Your information may also be disclosed to Australian government agencies, law enforcement agencies and regulators, or as required under other Australian law, contract, and court or tribunal order. For further details about our personal information handling practices, including how you may access and correct your personal information and raise privacy concerns, visit our website at www.mpms.mufg.com for a copy of the MPMS privacy policy, or contact us by phone on +61 1300 554 474 to request a copy.

SHARE SALE AUTHORITY FORM (Individual)

1

Registered Holder Details

Full Registered Name 1

Full Registered Name 2

Full Registered Name 3

Full Registered Name 4

Account Designation (if applicable)

Registered Address of the Holding

Street

Suburb

State

Postcode

Country

2

Holding Details

Enter the Issuer/Trust name and the Securityholder Reference Number (SRN) shown on your holding statement

Issuer or Trust investment is held in *Required

SRN *Required

3

Sale Instruction

☐

Sell ALL

— Including any further acquisitions.

or

☐

Sell PARTIAL

Please specify the number of securities you wish to sell below (if not ALL)

—

4

Payment Details

Please nominate the securityholder's Australian Bank account here.

For payments to an overseas bank account, please complete the accompanying IMT form.

BSB

Account Number

Bank or Building Society

Name of Account Holders (must be completed)

For payments to overseas bank accounts, complete the accompanying International Money Transfer (IMT) form

5	Declaration and Consent
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By signing this form:

- I/We authorise Pacific Custodians to sell the securities specified in this form in accordance with the Share Sale Facility Terms and Conditions.
- I/We authorise the applicable share sale facilitation fee to be deducted from the sale proceeds.
- I/We consent to Pacific Custodians conducting electronic identity verification through a third-party service for the purpose of confirming my/our identity (Australian and New Zealand investors using government-issued identification only).
- I/We acknowledge that by submitting the sale form with identification details provided in any form, that I/We are consenting to Pacific Custodians using the information to verify my/our identity from the relevant document issuer.

Joint Holdings: All securityholders must sign this form. Each signatory must indicate the capacity in which they have signed.

Securityholders: should state after their respective signatures the capacity in which they have signed.

I/We authorise you to make the sale in accordance with the Share Sale Facility Terms and Conditions and charge the share sale facilitation fee to me/us.

I/We give consent to Pacific Custodians to perform e-verification via a third-party system for the purpose of confirming identity: **(Australian and New Zealand investors only with Australian and New Zealand government issued identification).**

Important:

- All registered securityholders must sign this form.
- For joint holdings, all securityholders named on the holding must sign.
- If signing in a representative capacity (for example, attorney, executor, administrator, trustee, or company director), please state the capacity in the space provided.

Securityholder Name	Signature	Capacity (if applicable)	Date
			DD / MM / YYYY
			DD / MM / YYYY
			DD / MM / YYYY
			DD / MM / YYYY

Daytime Telephone Number

E-mail Address

6	Submission Instructions
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Before submitting your request, ensure all required forms have been completed.

If you are eligible for electronic identity verification (eVerification):

- Complete an **AML/KYC Investor Identification Form** for each registered individual securityholder.
- Complete Section 4 of the **AML/KYC Investor Identification Form**.
- Submit the completed forms electronically.
- **Do not include copies of identity documents.**

If you are not eligible for eVerification:

- Complete the required forms.
- Provide the required certified identity documents.
- Submit the forms and identity documents by post in accordance with the instructions provided in the **AML/KYC Investor Identification Form**.

Important:

Electronic submissions can only be accepted where the information required for eVerification has been provided. Electronic submissions cannot be processed if:

- copies of identity documents are included; or
- the **AML/KYC Investor Identification Form** does not contain the information required for eVerification.

How to complete the AML/CTF Investor Identification Information Form

KYC Requirements for Requesting a Sale of Securities via Email

Only for Individuals with Australian and/or New Zealand Government Issued Identification

In accordance with the Australian 'Anti Money Laundering and Counter Terrorism Financing Act 2006 (Cth)', organisations that provide specified financial services must carry out identification procedures commonly known as 'Know your Customer' or KYC to verify the identity of the customer. Individual customers requesting to sell securities will be asked to provide personal information that can be verified electronically from the originating document issuer.

This form can only be used if you request a sale of securities via email and you are an individual or joint individuals **without** an account designation and **without** an authorised representative. This is because we must collect originally certified documents about the underlying account designation e.g. <ABC Family a/c> or <XYZ Super Fund A/c> etc., as well as the authorising document appointing an attorney or guardian. Please reach out to discover how you can request to sell securities using conventional mailing of forms and identity documents if you are not an individual, or if you are an individual and you have an underlying account designation or authorised representative such as an attorney.

What do you need to do?

1. Complete an Individual form for each Individual or Joint Individual by typing it or handwriting in blue or black pen ink. Do not use correction fluid/tape or pencil. Please initial all corrections. Complete one form for each Investor. This form is interactive and can be completed on your computer. Once complete, append your electronic signature and save. You will need to send the completed form with your completed share sale authority form at the beginning of this document pack.
2. Complete the eVerification section at the bottom of the Individual form to consent to verification of your identity directly from the document issuer. To request a sale of securities via email, individuals must have valid Australian and/or New Zealand government issued identity documents such as a driver's licence or passport.

Note: Australian passports must not be expired more than 2 years; whereas New Zealand passports must not be expired.

Identification documents and eVerification (electronic verification of identity)

Pacific Custodians will verify the identity of individuals electronically using government issued identity documents such as but not limited to passports and driver's licences. If you are unable to provide the requested identity data sources, please contact us for a list of alternatives that we can accept via a postal channel.

Identification sources – you may choose one (1) source each from group 1 and group 2 OR you may choose two (2) sources from group 1. We are unable to accept only group 2 sources for eVerification.

Document number means the registration number of the document. This will typically be the driver's licence number, passport number, Medicare card number or birth certificate registration number etc.

** Important notes about birth certificates:

Only A.C.T. birth certificates issued from 1930 onwards can be verified electronically. For A.C.T. birth certificates issued between 1930 and May 2002, provide the **registration number** and also the **certificate issue date** e.g. 21 Jan 1963 using the 'Expiry or Issue Date' field. For A.C.T. birth certificates issued after May 2002, provide the **registration number** and **certificate issue date** and also provide the **certificate number** using the 'Other Information' field.

For N.S.W. birth certificates provide the **registration number** and the **registration year** e.g. 1952 using the 'Expiry or Issue Date' field.

For N.T. birth certificates provide the **registration number** and the **certificate issue date** e.g. 21 Jan 1963 using the 'Expiry or Issue Date' field and if your N.T. birth certificate was issued from 1999 onwards, also provide the **certificate number** using the 'Other Information' field.

For QLD birth certificates, provide the **registration number** and the **registration date** using the 'Expiry or Issue Date' field.

For S.A. birth certificates provide the **registration number** and the **certificate issue date** e.g. 21 Jan 1963 using the 'Expiry or Issue Date' field and also provide the **certificate number** (if any) in the 'Other Information' field. Typically, more recent S.A. birth certificates issued on multi-coloured or light blue paper will have a certificate number.

For TAS birth certificates provide the **registration number** and the **registration year** e.g. 1952 using the 'Expiry or Issue Date' field.

For VIC birth certificates provide the **registration number** and the **registration year** e.g. 1952 using the 'Expiry or Issue Date' field.

Only W.A. birth certificates issued from 1930 onwards can be verified electronically. For W.A. birth certificates issued from 1930 onwards, provide the **registration number** and the **registration year** e.g. 1952 using the 'Expiry or Issue Date' field and provide the **registration district** noted on birth certificates typically issued between 1930 and 1983 using the 'Other Information' field.

Personal Information Collection Notification Statement: MUFG Pension & Market Services ("MPMS") of which Pacific Custodians is a member company advises that your personal information and identification is collected for the administration of your investment as required or permitted by the *Anti-Money Laundering & Counter Terrorism Financing Act 2006 (Cth)* (AML/CTF) and accompanying Rules. Your information may be disclosed to the regulator AUSTRAC, other Commonwealth government agencies or law enforcement agencies. MPMS may request additional information or identity evidence from you before providing you with an AML/CTF designated service. For further details about our personal information handling practices, including how you may access and correct your personal information and raise privacy concerns, visit our website at www.mpms.mufg.com for a copy of the MPMS privacy policy, or contact us by phone on +61 1300 554 474 to request a copy.

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Issuer Details

Issuer Name (Share company or trust in which you hold securities e.g. Westpac) Security Code/Description

Section 1 – to be completed by all investors.

Section 1 Individual Identification Details - provide your Legal Name details

First Name		Middle Name(s)	Surname/Family Name	
Other Names known by (Alias, Anglicised or short-name if reflected as such in the register)				Date of Birth
				DD / MM / YYYY
Residential Street Address (Do not use a PO Box or C/- Address)		Suburb/Town/City	State/Territory/County/Region	
Post/Zip code	Country (if not Australia)	Country of Residency (other than Australia)	Country of Citizenship (other than Australia)	
Primary Occupation or Business Activity or Industry Sector		Source of wealth and funds used to purchase/acquire this product (e.g. Income, Investments, Savings, Inheritance, Sale of Assets, Loan etc.) Provide details		

Section 2 – to be completed by all Sole Trader Investors.

Section 2 Sole Trader

If the individual investor is a sole trader, please provide the following additional information.

Trading or Business Name	Primary Business Activity
Business Street Address (Do not use PO Box or C/- address)	
Australian Business Number (ABN)	
Suburb/Town/City	State/Territory/County/Region Post/Zip code Country (if not Australia)

Section 3 – to be completed by all individual investors. If this section is not completed, we will not be able to action your sale authority request.

Section 3 eVERIFICATION OF IDENTITY

Tick ✓ Below Primary and Secondary Identity sources. The name on all identity sources must match your first given name and surname in the register.

☐ I confirm that I am authorised to provide the personal details presented and I consent to the information being checked with the document issuer or official record holder via third party systems for the purpose of confirming identity. I also acknowledge that Pacific Custodians may access information about me from other non-governmental data sources to corroborate my identity using a community footprint.

We need to collect two identification data sources with at least one primary source being selected from Group 1. Please select a driver's licence and/or passport from Group 1 if you have them. A combination of Australian and New Zealand data sources is permitted.

Alternative for NZL residents: If you are not resident in Australia, you will need to select two choices from Group 1.

Alternative for AUST residents: If you do not have a driver's licence or passport, then select one or two other Group 1 data sources such as a birth certificate and/or Australian Change of Name Certificate or NZL Citizenship Certificate, and also select one or two Group 2 data sources. To verify identity using a birth certificate, your current legal name must match the legal name at birth.

ⓘ We are unable to accept only Group 2 data sources for eVerification of identity.

Group 1
Select two documents **one of which must be a passport or driver's licence if you have them** and complete the document details below exactly as shown on the document.

☐ Australian passport	☐ Australian driver's licence issued by a state or territory	☐ New Zealand passport	☐ New Zealand driver's licence
☐ Australian change of name certificate	☐ Australian birth certificate (must match your name in the register) See important notes on page 6**	☐ New Zealand birth certificate	☐ New Zealand citizenship certificate

State/Territory of Issue	Document Number: e.g. DL number	Expiry or Issue Date	Other information such as the driver's licence card number on the back of your card
		DD / MM / YYYY	

Note: For a drivers licence (DL), please provide both the DL card number and DL number. Please record the DL Card Number in the Other Information Field above. For birth certificates, please provide both the registration number and the certificate number – refer to birth certificate notes on page 6. We are unable to verify the DL or birth certificate without this information.

Group 2
Select at least one option and complete the document details below exactly as shown on the document.

☐ Medicare card Ref. Nbr: _____	☐ Centrelink Pension Card or Healthcare Card	☐ Australian Electoral Roll (AEC)
☐ Green ☐ Blue ☐ Yellow		

State/Territory of Issue	Document Number: e.g. Medicare number	Expiry or Issue Date	Other information
		DD / MM / YYYY	

Individual person's signature	Date
	DD / MM / YYYY

Document number means the registration number of the document. This will typically be the driver's licence number, passport number, Medicare card number or birth certificate registration number etc.



Issuer Details

Issuer Name (Share company or trust in which you hold securities e.g. Westpac) Security Code/Description

Section 1 – to be completed by all investors.

Section 1 Individual Identification Details - provide your Legal Name details

First Name		Middle Name(s)	Surname/Family Name	
Other Names known by (Alias, Anglicised or short-name if reflected as such in the register)				Date of Birth
				DD / MM / YYYY
Residential Street Address (Do not use a PO Box or C/- Address)		Suburb/Town/City	State/Territory/County/Region	
Post/Zip code	Country (if not Australia)	Country of Residency (other than Australia)	Country of Citizenship (other than Australia)	
Primary Occupation or Business Activity or Industry Sector		Source of wealth and funds used to purchase/acquire this product (e.g. Income, Investments, Savings, Inheritance, Sale of Assets, Loan etc.) Provide details		

Section 2 – to be completed by all Sole Trader Investors.

Section 2 Sole Trader

If the individual investor is a sole trader, please provide the following additional information.

Trading or Business Name	Primary Business Activity
Business Street Address (Do not use PO Box or C/- address)	
Australian Business Number (ABN)	
Suburb/Town/City	State/Territory/County/Region Post/Zip code Country (if not Australia)

Section 3 – to be completed by all individual investors. If this section is not completed, we will not be able to action your sale authority request.

Section 3 eVERIFICATION OF IDENTITY

Tick ✓ Below Primary and Secondary Identity sources. The name on all identity sources must match your first given name and surname in the register.

☐ I confirm that I am authorised to provide the personal details presented and I consent to the information being checked with the document issuer or official record holder via third party systems for the purpose of confirming identity. I also acknowledge that Pacific Custodians may access information about me from other non-governmental data sources to corroborate my identity using a community footprint.

We need to collect two identification data sources with at least one primary source being selected from Group 1. Please select a driver's licence and/or passport from Group 1 if you have them. A combination of Australian and New Zealand data sources is permitted.

Alternative for NZL residents: If you are not resident in Australia, you will need to select two choices from Group 1.

Alternative for AUST residents: If you do not have a driver's licence or passport, then select one or two other Group 1 data sources such as a birth certificate and/or Australian Change of Name Certificate or NZL Citizenship Certificate, and also select one or two Group 2 data sources. To verify identity using a birth certificate, your current legal name must match the legal name at birth.

ⓘ We are unable to accept only Group 2 data sources for eVerification of identity.

Group 1
Select two documents **one of which must be a passport or driver's licence if you have them** and complete the document details below exactly as shown on the document.

☐ Australian passport	☐ Australian driver's licence issued by a state or territory	☐ New Zealand passport	☐ New Zealand driver's licence
☐ Australian change of name certificate	☐ Australian birth certificate (must match your name in the register) See important notes on page 6**	☐ New Zealand birth certificate	☐ New Zealand citizenship certificate

State/Territory of Issue	Document Number: e.g. DL number	Expiry or Issue Date	Other information such as the driver's licence card number on the back of your card
DD / MM / YYYY			

Note: For a drivers licence (DL), please provide both the DL card number and DL number. Please record the DL Card Number in the Other Information Field above. For birth certificates, please provide both the registration number and the certificate number – refer to birth certificate notes on page 6. We are unable to verify the DL or birth certificate without this information.

Group 2
Select at least one option and complete the document details below exactly as shown on the document.

☐ Medicare card Ref. Nbr: _____	☐ Centrelink Pension Card or Healthcare Card	☐ Australian Electoral Roll (AEC)
☐ Green ☐ Blue ☐ Yellow		

State/Territory of Issue	Document Number: e.g. Medicare number	Expiry or Issue Date	Other information
DD / MM / YYYY			

Individual person's signature	Date
	DD / MM / YYYY

Document number means the registration number of the document. This will typically be the driver's licence number, passport number, Medicare card number or birth certificate registration number etc.



Issuer Details

Issuer Name (Share company or trust in which you hold securities e.g. Westpac) Security Code/Description

Section 1 – to be completed by all investors.

Section 1 Individual Identification Details - provide your Legal Name details

First Name		Middle Name(s)	Surname/Family Name	
Other Names known by (Alias, Anglicised or short-name if reflected as such in the register)				Date of Birth
				DD / MM / YYYY
Residential Street Address (Do not use a PO Box or C/- Address)		Suburb/Town/City	State/Territory/County/Region	
Post/Zip code	Country (if not Australia)	Country of Residency (other than Australia)	Country of Citizenship (other than Australia)	
Primary Occupation or Business Activity or Industry Sector		Source of wealth and funds used to purchase/acquire this product (e.g. Income, Investments, Savings, Inheritance, Sale of Assets, Loan etc.) Provide details		

Section 2 – to be completed by all Sole Trader Investors.

Section 2 Sole Trader

If the individual investor is a sole trader, please provide the following additional information.

Trading or Business Name	Primary Business Activity
Business Street Address (Do not use PO Box or C/- address)	
Australian Business Number (ABN)	
Suburb/Town/City	State/Territory/County/Region Post/Zip code Country (if not Australia)

Section 3 – to be completed by all individual investors. If this section is not completed, we will not be able to action your sale authority request.

Section 3 eVERIFICATION OF IDENTITY

Tick ✓ Below Primary and Secondary Identity sources. The name on all identity sources must match your first given name and surname in the register.

☐ I confirm that I am authorised to provide the personal details presented and I consent to the information being checked with the document issuer or official record holder via third party systems for the purpose of confirming identity. I also acknowledge that Pacific Custodians may access information about me from other non-governmental data sources to corroborate my identity using a community footprint.

We need to collect two identification data sources with at least one primary source being selected from Group 1. Please select a driver's licence and/or passport from Group 1 if you have them. A combination of Australian and New Zealand data sources is permitted.

Alternative for NZL residents: If you are not resident in Australia, you will need to select two choices from Group 1.

Alternative for AUST residents: If you do not have a driver's licence or passport, then select one or two other Group 1 data sources such as a birth certificate and/or Australian Change of Name Certificate or NZL Citizenship Certificate, and also select one or two Group 2 data sources. To verify identity using a birth certificate, your current legal name must match the legal name at birth.

ⓘ We are unable to accept only Group 2 data sources for eVerification of identity.

Group 1
Select two documents **one of which must be a passport or driver's licence if you have them** and complete the document details below exactly as shown on the document.

☐ Australian passport	☐ Australian driver's licence issued by a state or territory	☐ New Zealand passport	☐ New Zealand driver's licence
☐ Australian change of name certificate	☐ Australian birth certificate (must match your name in the register) See important notes on page 6**	☐ New Zealand birth certificate	☐ New Zealand citizenship certificate

State/Territory of Issue	Document Number: e.g. DL number	Expiry or Issue Date	Other information such as the driver's licence card number on the back of your card
		DD / MM / YYYY	

Note: For a drivers licence (DL), please provide both the DL card number and DL number. Please record the DL Card Number in the Other Information Field above. For birth certificates, please provide both the registration number and the certificate number – refer to birth certificate notes on page 6. We are unable to verify the DL or birth certificate without this information.

Group 2
Select at least one option and complete the document details below exactly as shown on the document.

☐ Medicare card Ref. Nbr: _____	☐ Centrelink Pension Card or Healthcare Card	☐ Australian Electoral Roll (AEC)
☐ Green ☐ Blue ☐ Yellow		

State/Territory of Issue	Document Number: e.g. Medicare number	Expiry or Issue Date	Other information
		DD / MM / YYYY	

Individual person's signature	Date
	DD / MM / YYYY

Document number means the registration number of the document. This will typically be the driver's licence number, passport number, Medicare card number or birth certificate registration number etc.

MUFG Corporate Markets (AU) Limited & Pacific Custodians Pty Limited

JOINT FINANCIAL SERVICES GUIDE (FSG)

14 April 2025

PACIFIC CUSTODIANS



This FSG is designed to assist you in deciding whether to use any of the services covered in this guide. It also contains information about remuneration that may be paid to the financial services licensee, authorised representative and other relevant persons in relation to the services offered, as well as information on how complaints against the financial services licensee and authorised representative are dealt with.

QUESTIONS	ANSWERS
Who are we and how can we be contacted?	This Joint FSG has been prepared, issued, and authorised by: •Pacific Custodians Pty Limited (Pacific Custodians) (ABN 66 009 682 866), Australian Financial Services Licence (AFSL) 295142 (the Authorising Licensee); and •MUFG Corporate Markets (AU) Limited (ABN 54 083 214 537), a Corporate Authorized Representative of Pacific Custodians, Authorized Representative Number 297766 (the Providing Entity). Unless otherwise specified, hereinafter referred to as 'we' 'us'. Pacific Custodians and MUFG Corporate Markets (AU) Limited are related bodies corporate and subsidiaries of MUFG Pension & Market Services. For more information about MUFG Pension & Market Services, please visit: www.mpms.mufg.com We can be contacted via the following details: Address: Liberty Place, Level 41, 161 Castlereagh Street Sydney NSW 2000 Phone: +61 2 82805000 Web: www.mpms.mufg.com
How can you provide instructions to us?	You may provide instructions to us by contacting us using the details set out above. Generally, instructions must be received in writing before we can act on them. In some circumstances you will need to fill out a form and meet the specific requirements of the particular product before we can act on your instructions, in which case we will tell you what forms you need to fill out and how you can obtain them.

QUESTIONS

ANSWERS

What financial services do we provide?

Pacific Custodians is authorised to provide the following financial services:

- a) Deal in a financial product by:
 - i) issuing, applying for, acquiring, varying, or disposing of a financial product in respect of the following classes of financial products:
 - A) derivatives;
 - B) debentures, stocks or bonds issued or proposed to be issued by a government;
 - C) interests in managed investment schemes excluding:
 - 1) investor directed portfolio services;
 - D) securities; and
 - ii) applying for, acquiring, varying, or disposing of a financial product on behalf of another person in respect of the following classes of products:
 - A) derivatives;
 - B) debentures, stocks or bonds issued or proposed to be issued by a government;
 - C) interests in managed investment schemes excluding:
 - 1) investor directed portfolio services;
 - D) securities; and
- b) provide the following custodial or depository services:
 - i) operate custodial or depository services other than investor directed portfolio services;

to retail and wholesale clients.

Pacific Custodians has authorised MUFG Corporate Markets (AU) Limited to provide the above financial services and any other financial services Pacific Custodians is authorised to provide under a valid AFSL from time to time.

Financial Services provided by us include:

- Share sale services which assist holders of securities in listed entities (whose registers we maintain), to dispose of their holdings; and
- Share acquisition services which assist certain holders of securities in listed entities (whose registers we maintain), to acquire additional securities.
- Services in relation to employee share and option schemes including sale, acquisition and exercise of unrestricted shares/rights or options.

Services not covered by this FSG.

MUFG Corporate Markets (AU) Limited also provides other services (e.g. registry services, estate administration services and historical research services in listed entities whose registers we maintain). These services are NOT financial services and are NOT covered by this FSG.

Pacific Custodians is NOT responsible for other services provided by MUFG Corporate Markets (AU) Limited. For more information about these services, please visit our website www.mpms.mufg.com



What remuneration (including commission) or other benefits, are attributable to the provision of the authorised services?

Pacific Custodians and MUFG Corporate Markets (AU) Limited are remunerated for the financial services provided to you, either directly through the fees charged to you, or indirectly through the fees charged to service providers for providing financial services in relation to their financial products.

Security sale and acquisition services

We charge a fee of 0.99% (inc GST) of the sale value with a minimum of \$99.00 (inc GST) to facilitate the sale of securities at the request of individuals.

Amounts payable by the security holder may vary depending on the extent to which the company whose securities are being sold subsidises any applicable fees.

For a security sale, the fees will be deducted from the proceeds of the sale prior to distribution to the beneficiary. For a security acquisition, the fees will be in addition to funds required to complete the acquisition of the securities. Proceeds from the sale of securities are held in client segregated accounts prior to distribution to beneficiaries. Pacific Custodians will be entitled to retain any interest that is earned on monies held in those accounts.

Employee share and option scheme services

Pacific Custodians receives remuneration for acting as trustee and custodian of employee share and option schemes (including ancillary custodial services such as the issue of any new shares under a corporate action or dividend reinvestment scheme). This remuneration is determined by agreement with, and payable by, the issuer of the securities. Generally, no fees or commissions are payable directly by employees entitled to securities or options under a scheme managed by us. If there is a direct fee payable by the employee, it will be set out in the scheme documents provided to employees.

We receive fees for administrative services that we provide in connection with employee share and option schemes, as well as facilitating sales in connection with these schemes.

The fees that we receive vary depending on a number of factors. The exact remuneration for any one transaction is not able to be ascertained at the time this FSG is given. But generally, we may receive a flat rate administration fee and/or a fee based on a percentage of the value of each transaction for the exercise of an option and/or share sale.

You may request the particulars of the remuneration or other benefits for any particular service that we will provide, but that request must be made within a reasonable time after you are given this FSG and in any event, before any financial service is provided.

Where we facilitate a transaction, a brokerage fee is charged which may marginally exceed our negotiated cost with the broker.

No additional remuneration is paid, or benefit provided to:

- the employer of the providing entity;
- the authorising licensee;
- an employee or director of the authorising licensee (other than normal wages and salary of employees);
- associate(s) of any of the above; or
- any other person.



Do any relationships or associations exist which might influence the financial services we provide?

MUFG Corporate Markets (AU) Limited and Pacific Custodians are subsidiaries of MUFG Pension & Market Services. For more information about MUFG Pension & Market Services, please visit: www.mpms.mufg.com.

In providing the disclosed services, we use a panel of stockbrokers to facilitate the sale and acquisition of holdings. The decision to use a particular broker is based on a number of factors relating to execution, pricing, and settlement.

What should you do if you have a complaint?

We are committed to providing quality financial services. We have developed a fast and efficient complaints handling procedure to enable us to deal with any complaints you may have about us.

In the first instance, all complaints should be made to:

Complaints Manager

MUFG Corporate Markets (AU) Limited
Locked Bag A14, Sydney South NSW 1235
Phone: 1300 365 969

Email: complaints.au@cm.mpms.mufg.com

If your complaint is about services covered by this FSG (e.g. share sale and acquisition services) and you are not satisfied with the way your complaint is handled, or with its resolution, then you may be able to lodge a complaint with the Australian Financial Complaints Authority ('AFCA').

AFCA is a free, fair, and independent dispute resolution scheme that considers complaints about financial products and services. AFCA's service is offered as an alternative to tribunals and courts to resolve complaints that consumers and small businesses have with their financial firms. AFCA may be able to assist you to resolve your complaint but will only become involved after you have made first use of our own complaints handling process.

You can contact AFCA at:

Address: GPO Box 3, Melbourne VIC 3001
Phone: 1800 931 678
Website: www.afca.org.au
Email: info@afca.org.au

What compensation arrangements are in place?

We have compensation arrangements in place, including Professional Indemnity (PI) insurance which satisfies the requirements of section 912B of the Corporations Act 2001 (Cth), that covers claims arising from the conduct of representatives and employees including those who no longer work for us, but who did at the time of the conduct.

MUFG Corporate Markets (AU) Limited is an ASX General Settlement Participant and ASX Product Issuer Settlement Participant.

General Advice Warning:

This brochure and any information that you receive from us is prepared without taking account of your objectives, financial situation, or needs. Because of this, it is important for you to consider the appropriateness of any information and/or advice received having regard to your objectives, financial situation, and needs. You should obtain and take into account the relevant disclosure document and consider seeking professional financial, taxation and/or legal advice, before you make any investment decision.

