

For Joint Holding

If you have elected to receive MUFG Corporate Markets Deceased Estates Securities Administration Service please complete this form, attach the necessary documentation and complete/attach your payment and return to MUFG Corporate Markets at the address shown above.

A DECEASED'S DETAILS

Deceased's Name

Grid of 26 boxes for Deceased's Name

Deceased's Address

Text box for Deceased's Address

Postcode

Grid of 4 boxes for Postcode

Suburb/Town

Text box for Suburb/Town

Country

Text box for Country

Date of Death

Text box for Date of Death with slashes

CSN/Holder Number

Grid of 8 boxes for CSN/Holder Number

B SURVIVING HOLDER(S) DETAILS

Given Name(s)

Three stacked text boxes for Given Name(s)

Last Name(s)

Three stacked text boxes for Last Name(s)

Postal Address to be recorded on the Register. Only one address can be recorded.

Grid of 26 boxes for Postal Address

Suburb/Town

Grid of 26 boxes for Suburb/Town

Postcode

Grid of 4 boxes for Postcode

C CONTACT PERSON DETAILS

Full Name

Grid of 26 boxes for Full Name

Phone number

Text box for Phone number with parentheses

D ESTATE ADMINISTRATION PAYMENT DETAILS

If you require MUFG Corporate Markets to administrate the current registration details of the above CSN/Holder Number in relation to an Estate, you are required to pay an administration fee of \$92.00 (Inclusive of GST).

Payment can be made by Direct Credit or Credit Card. Please select only one payment option and complete all areas in the related section below.



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D ESTATE ADMINISTRATION PAYMENT DETAILS (CONTINUED)

OPTION 1 – TO PAY BY CREDIT CARD: Please complete the details below

Please debit my Credit Card with NZ\$92.00 (inclusive of GST)
(Only Visa or Mastercard can be accepted)

Credit Card Number:

Credit card number input field with 16 boxes

Expiry Date:

Expiry date input field with MM/YY format

Payment method selection: Visa (tick), Mastercard

Name on Card:

Name on card input field with 20 boxes

Cardholder(s) Signature(s):

Cardholder signature input field

OPTION 2 – TO PAY BY DIRECT CREDIT: Please submit your payment of NZ\$92.00 (inclusive of GST) to:

Bank account name: MUFG Corporate Markets
Bank name and branch: BNZ Limited Downtown Auckland
Bank account number: 02-0108-0140144-00

Please ensure that you quote EST and the CSN/Holder number and Estate surname in the reference fields of the payment screen when you make your payment. If you fail to complete this, MUFG will not be able to match your payment to your request.

Please provide the following confirmation to MUFG to enable us to identify your payment in our account

Name and branch of your bank

Name and branch of bank input field with 20 boxes

Date that payment was made:

Date that payment was made input field with MM/DD/YY format

Amount paid:

Amount paid input field with \$ symbol and 5 boxes

Direct Credit Reference/CSN/Holder Number

Direct credit reference input field with 15 boxes

Surname

Surname input field with 10 boxes

E CHECKLIST: I HAVE COMPLETED AND/OR ATTACHED

- 1. Full name(s) and address of surviving joint holder(s) [Yes]
2. Contact name and telephone [Yes]
3. Estate Administration Payment Details completed [Yes]
4. Do you wish to receive a receipt for this payment? [Yes/No]
5. Certified copy of the Death Certificate [Yes]
6. Request for Transmission – Surviving Joint Holders [Yes]

You will need to supply the following two documents to MUFG Corporate Markets as CERTIFIED COPIES ONLY. Please do not send original documents. A Certified Copy is a copy of the original document which has been certified to be a true copy of the original by a person authorised to do so. This includes a Justice of the Peace and Solicitor.

You will need to provide a Death Certificate.

- 3. Certified copy of the Death Certificate [Yes]
4. Certified copy of Power of Attorney of surviving joint holder(s) (if applicable) [Yes/N/A]
7. Request for Transmission – Surviving Joint Holders [Yes]

F SIGNATURE(S)

Signature(s) and Date input fields

Privacy Clause: MUFG Pension & Market Services (NZ) Limited advises that Section 87 of the Companies Act 1993 requires certain information about you as an investor...

